

A New Regression Type Estimator with Two Auxiliary Variables for Single-Phase Sampling

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Received 12 August 2014; revised 16 September 2014; accepted 28 September 2014

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Abstract

In this paper, we have proposed an estimator of finite population mean using a new regression type estimator with two auxiliary variables for single-phase sampling and investigated its finite sample properties. An empirical study has been carried out to compare the performance of the proposed estimator with the existing estimators that utilize auxiliary variables for finite population mean. It has been found that the new regression type estimator with two auxiliary variables for to be more efficient than mean per unit, ratio and product estimator and exponential ratio and exponential product estimators and exponential ratio-product estimator.

Keywords

Regression Estimator, Exponential Ratio-Product Estimator, Auxiliary Variables, Mean Squared Error

1. Introduction

The history of using auxiliary information in survey sampling is as old as history of the survey sampling. The work of Neyman [1] may be referred to as the initial work where auxiliary information has been used to improve precision of an estimator. Cochran [2] used auxiliary information in single-phase sampling to develop the ratio estimator for estimation of population mean. In the ratio estimator, the study variable and the auxiliary variable had a high positive correlation and the regression line was passing through the origin. Watson [3] used the regression estimator of leaf area on leaf weight to estimate the average area of the leaves on a plant.

Olkin [4] was the first author to deal with the problem of estimating the mean of survey variable when auxiliary variables were made available. He suggested the use of information on more than one auxiliary variable,

highly positively correlated with the study variable. Murthy [5] used auxiliary information in single-phase sampling to develop the product estimator for estimation of population mean. Singh [6] gave a multivariate expression of Murthy’s [5] product estimator while Raj [7] put forward a method for using multi-auxiliary variables through a linear combination of single difference estimators.

Singh [8] considered the extension of the ratio-cum-product estimators to multi-auxiliary variables while Rao and Mudholkar [9] considered a multivariate estimator based on a weighted sum of single ratio and product estimators. John [10] suggested two multivariate generalizations of ratio and product estimators which actually reduced to the Olkin’s [4] and Singh’s [6] estimators.

Bahl and Tuteja [11] proposed ratio and product type exponential estimators while Singh and Vishwakarma [12] extended the exponential ratio and product type estimators to double-phase sampling. Singh and Espejo [13] proposed a class of ratio-product estimators in single-phase sampling with its properties and identified asymptotically optimum estimators from the proposed class of estimators. Singh and Espejo [14] also extended the ratio-product estimators to two-phase sampling. Hanif, Hamad and Shahbaz [15] and [16] proposed a modified regression type estimator in survey sampling where they combined regression estimator with the ratio-product estimator in both single and two-phase sampling. Hamad, Hanif and NajeebHaider [17] extended the estimator to two-phase sampling under partial information case.

In this paper, we will extend the modified regression estimator proposed by Hanif, Hamad and Shahbaz [15] to a new regression type estimator with two auxiliary variables for single-phase sampling estimator and incorporate Arora and Bansi [18] approach in writing down the mean squared error. We will use natural both simulated and natural population by Johnson [19].

2. Preliminaries

2.1. Notation and Assumption

Let us consider a finite population $U = (U_1, U_2, \dots, U_N)$ of size N units. A first phase large sample of size n units is drawn from population U following simple random sampling without replacement (SRSWOR) scheme.

Let $\bar{y} = \frac{1}{n} \sum_{i=1}^n y_i$ and $\bar{x} = \frac{1}{n} \sum_{i=1}^n x_i$ be the unbiased estimators of \bar{Y} and \bar{X} the population mean of y and x respectively. Let $f = \frac{n}{N}$ then $\theta = \frac{1-f}{n}$. Let $C_y^2 = \frac{S_y^2}{\bar{Y}^2}$, $C_z^2 = \frac{S_z^2}{\bar{Z}^2}$ and $C_x^2 = \frac{S_x^2}{\bar{X}^2}$ be the squares of coefficient of variation of study variable and the auxiliary variables respectively. Where the variances and covariance are given by,

$$\begin{aligned} S_y^2 &= \frac{1}{N-1} \sum_{i=1}^N (y_i - \bar{Y})^2, & S_x^2 &= \frac{1}{N-1} \sum_{i=1}^N (x_i - \bar{X})^2, \\ S_{yx} &= \frac{1}{N-1} \sum_{i=1}^N (x_i - \bar{X})(y_i - \bar{Y}), & S_{xz} &= \frac{1}{N-1} \sum_{i=1}^N (x_i - \bar{X})(z_i - \bar{Z}). \end{aligned} \tag{1.0}$$

The correlation coefficients between study variable and auxiliary variables are given by;

$$\rho_{yx} = \frac{S_{yx}}{S_y S_x}, \quad \rho_{yz} = \frac{S_{yz}}{S_y S_z} \quad \text{and} \quad \rho_{xz} = \frac{S_{xz}}{S_x S_z}. \tag{1.1}$$

Let $e_y = \frac{(\bar{y} - \bar{Y})}{\bar{Y}}$, $e_x = \frac{(\bar{x} - \bar{X})}{\bar{X}}$, $e_z = \frac{(\bar{z} - \bar{Z})}{\bar{Z}}$ be sampling errors and are assumed to be very small. We assume that

$$E(\bar{e}_y) = E(\bar{e}_x) = E(\bar{e}_z) = 0. \tag{1.2}$$

The sampling error can also be written as,

$$\bar{y} = \bar{Y}(1 + e_y), \quad \bar{x} = \bar{X}(1 + e_x) \quad \text{and} \quad \bar{z} = \bar{Z}(1 + e_z). \tag{1.3}$$

Then for simple random sampling without replacement for both single-phase, we write by using phase wise

operation of expectations as:

$$\begin{aligned} E(\bar{e}_x^2) &= \theta \bar{X}^2 C_x^2, & E(\bar{e}_y^2) &= \theta \bar{Y}^2 C_y^2, & E(\bar{e}_y \bar{e}_x) &= \theta \bar{X} \bar{Y} C_y C_x \rho_{yx}, \\ E(\bar{e}_z^2) &= \theta \bar{Z}^2 C_z^2, & E(\bar{e}_x \bar{e}_z) &= \theta \bar{X} \bar{Z} C_x C_z \rho_{xz}, & E(\bar{e}_y \bar{e}_z) &= \theta \bar{Y} \bar{Z} C_y C_z \rho_{yz}. \end{aligned} \quad (1.4)$$

$$A^{-1} = \frac{1}{|A|} (C^T)_{ij} = \frac{Adj(A)}{|A|}. \quad (1.5)$$

$$\frac{|R|_{y_x q}}{|R|_{x_q}} = (1 - \rho_{y_x q}^2) \quad \text{Arora and Lai [18]}. \quad (1.6)$$

The following notations will be used in deriving the mean square errors of proposed estimator.

$$\begin{aligned} |R|_{y_x p} &: \text{Determinant of population correlation matrix of variables } y, x_1, x_2. \\ |R|_{y_x i} |_{y_x q} &: \text{Determinant of } i^{\text{th}} \text{ minor of } |R|_{y_x p} \text{ corresponding to the } i^{\text{th}} \text{ element of } \rho_{y x_i}. \\ \rho_{y_x}^2 &: \text{Denotes the multiple coefficient of determination of } y \text{ on } x_1, x_2. \\ \rho_{y_x q}^2 &: \text{Denotes the multiple coefficient of determination of } y \text{ on } y, x_1, x_2. \\ |R|_{x_r} &: \text{Determinant of population correlation matrix of variables } x_1, x_2. \\ |R|_{x_p} &: \text{Determinant of population correlation matrix of variables } x_1, x_2. \\ |R|_{y_i x_r} &: \text{Determinant of the correlation matrix of } y_i, x_1, x_2. \\ |R|_{y_i x_p} &: \text{Determinant of the correlation matrix of } y_i, x_1, x_2. \end{aligned} \quad (1.7)$$

2.2. Mean per Unit in Single-Phase Sampling

It is obtained by taking a sample of size n from N using simple random sampling without replacement.

$$\bar{y} = \frac{1}{n} \sum_{i=1}^n y_i. \quad (2.0)$$

Its variance is given by,

$$V(\bar{y}) = \theta \bar{Y}^2 C_y^2. \quad (2.1)$$

2.3. Ratio, Product and Regression Estimators

Classical ratio estimator by Cochran [2] is given by,

$$t_R = \bar{y} \left(\frac{\bar{X}}{\bar{x}} \right)^\alpha \quad (\bar{x} \neq 0). \quad (2.2)$$

The mean squared error of the estimator t_R up to the first order of approximation is given by,

$$\text{MSE}(t_R) = \theta \bar{Y}^2 (C_y^2 + C_x^2 - 2\rho_{yx} C_y C_x). \quad (2.3)$$

Classical regression estimator by Watson [3] is given by,

$$t_{RE} = \bar{y} + \beta(\bar{X} - \bar{x}). \quad (2.4)$$

Mean squared error of estimator t_{RE} is given by,

$$\text{MSE}(t_{RE}) = \theta \bar{Y}^2 C_y^2 (1 - \rho_{yx}^2). \quad (2.5)$$

Classical product estimator by Murthy [5] is given by,

$$t_p = \bar{y} \left(\frac{\bar{x}}{\bar{X}} \right)^\alpha \tag{2.6}$$

The mean squared error of the estimator t_R up to the first order of approximation is given by,

$$\text{MSE}(t_p) = \theta \bar{Y}^2 (C_y^2 + C_x^2 + 2\rho_{yx} C_y C_x). \tag{2.7}$$

2.4. Ratio-Product Estimator

Singh and Espejo [13] proposed the following ratio-product estimator

$$t_{RP} = \bar{y} \left(\alpha \left(\frac{\bar{X}}{\bar{x}} \right) + (1-\alpha) \left(\frac{\bar{x}}{\bar{X}} \right) \right). \tag{2.8}$$

The mean squared error of the estimator t_{RP} up to the first order of approximation is given by,

$$\text{MSE}(t_{RP}) = \theta \bar{Y}^2 C_y^2 (1 - \rho_{yx}^2). \tag{2.9}$$

2.5. Exponential Ratio-Type and Exponential Product-Type Estimators

Bahl and Tuteja [11] suggested an exponential ratio-type and exponential product-type estimator defined as

$$t_{ER} = \bar{y} \exp \left(\frac{\bar{X} - \bar{x}}{\bar{X} + \bar{x}} \right) \tag{2.10}$$

$$t_{EP} = \bar{y} \exp \left(\frac{\bar{x} - \bar{X}}{\bar{x} + \bar{X}} \right). \tag{2.11}$$

The mean squared error of t_{ER} and t_{PE} up to the first order of approximation are:

$$\text{MSE}(t_{ER}) = \theta \bar{Y}^2 \left(C_y^2 + \frac{1}{4} C_x^2 - \rho_{yx} C_y C_x \right) \tag{2.12}$$

$$\text{MSE}(t_{EP}) = \theta \bar{Y}^2 \left(C_y^2 + \frac{1}{4} C_x^2 + \rho_{yx} C_y C_x \right). \tag{2.13}$$

2.6. Exponential Ratio-Product Estimator Using Auxiliary Variable

The exponential ratio-product estimator proposed by Singh and Espejo [13] is given by,

$$t_{ERP} = \bar{y} \left(\alpha \exp \left(\frac{\bar{X} - \bar{x}}{\bar{X} + \bar{x}} \right) + (1-\alpha) \exp \left(\frac{\bar{x} - \bar{X}}{\bar{x} + \bar{X}} \right) \right). \tag{2.14}$$

The mean squared error is given by,

$$\text{MSE}(t_{ERP}) = \theta \bar{Y}^2 C_y^2 (1 - \rho_{yx}^2). \tag{2.15}$$

In general these estimators have a bias of order n^{-1} . Since the standard error of the estimates is of order $\frac{1}{\sqrt{n}}$, the quantity $\text{bias}/s.e$ is of order $\frac{1}{\sqrt{n}}$ and becomes negligible as n becomes large. In practice, this quantity is usually unimportant in samples of moderate and large sizes.

In this paper, we have extended the modified regression estimator by Hanif, Hamad and Shahbaz [15] in single-phase sampling to a new regression type estimator with two auxiliary variables for single-phase for estimating the population mean.

3. Methodology

3.1. Mixture Ratio Estimators Using Multi-Auxiliary Variable and Attributes for Single-Phase Sampling

If we estimate a study variable when information on all auxiliary variables is available from the population, it is utilized in the form of their means. A new regression type estimator using two auxiliary variables for single variables is proposed as:

$$t_{\text{RERP}} = (\bar{y} + \beta(\bar{X} - \bar{x})) \left(\alpha \exp\left(\frac{\bar{Z} - \bar{z}}{\bar{Z} + \bar{z}}\right) + (1 - \alpha) \exp\left(\frac{\bar{z} - \bar{Z}}{\bar{Z} + \bar{z}}\right) \right). \quad (3.0)$$

Substituting (1.3) equation in (3.0) we get,

$$t_{\text{RERP}} = (\bar{Y}(1 + e_y) + \beta(\bar{X} - \bar{x})) \left(\alpha \exp\left(\frac{\bar{Z} - \bar{Z}(1 + e_z)}{\bar{Z} + \bar{Z}(1 + e_z)}\right) + (1 - \alpha) \exp\left(\frac{\bar{Z}(1 + e_z) - \bar{Z}}{\bar{Z} + \bar{Z}(1 + e_z)}\right) \right). \quad (3.1)$$

Ignoring the second and higher terms for each expansion of product and after simplification we can write t_{RERP} as,

$$t_{\text{RERP}} = (\bar{e}_y + \bar{Y} - \beta\bar{e}_x) \left(\alpha \exp\left(-\frac{\bar{e}_z}{2}\right) + (1 - \alpha) \exp\left(\frac{\bar{e}_z}{2}\right) \right). \quad (3.2)$$

Expanding the exponential in (3.2) and ignoring the second and higher terms for each expansion we get,

$$t_{\text{RERP}} = (\bar{e}_y + \bar{Y} - \beta\bar{e}_x) \left(\alpha \left(1 - \frac{\bar{e}_z}{2}\right) + (1 - \alpha) \left(1 + \frac{\bar{e}_z}{2}\right) \right). \quad (3.3)$$

Simplifying (3.3) we get,

$$t_{\text{RERP}} = (\bar{e}_y + \bar{Y} - \beta\bar{e}_x) \left(1 + \left(\frac{1}{2} - \alpha\right) \bar{e}_z \right). \quad (3.4)$$

Expanding (3.4) and ignoring the second and higher terms we get,

$$t_{\text{RERP}} = \bar{Y} + \bar{e}_y - \beta\bar{e}_x + \bar{Y} \left(\frac{1}{2} - \alpha\right) \bar{e}_z. \quad (3.5)$$

The mean squared error of t_{RERP} is given by

$$\begin{aligned} \text{MSE}(t_{\text{RERP}}) &= E(t_{\text{RERP}} - \bar{Y})^2 \\ \text{MSE}(t_{\text{RERP}}) &= E\left(\bar{e}_y - \beta\bar{e}_x + \bar{Y}\left(\frac{1}{2} - \alpha\right)\bar{e}_z\right)^2. \end{aligned} \quad (3.6)$$

Squaring the right sides of (3.6) and taking expectation, we get,

$$\begin{aligned} \text{MSE}(t_{\text{RERP}}) &= \theta\bar{Y}^2 C_y^2 + \beta^2 \theta \bar{X}^2 C_x^2 + \frac{1}{4} \bar{Y}^2 \theta \bar{Z}^2 C_z^2 - \alpha \bar{Y}^2 \theta \bar{Z}^2 C_z^2 + \bar{Y}^2 \alpha^2 \theta \bar{Z}^2 C_z^2 + \bar{Y}^2 \theta \bar{X} C_y C_z \rho_{yz} \\ &\quad - \alpha 2 \bar{Y}^2 \theta \bar{Z} C_y C_z \rho_{yz} - 2 \beta \theta \bar{X} \bar{Y} C_x C_y \rho_{yx} - \bar{Y} \beta \theta \bar{X} \bar{Z} C_x C_z \rho_{xz} + \alpha 2 \bar{Y} \beta \theta \bar{X} \bar{Z} C_x C_z \rho_{xz}. \end{aligned} \quad (3.7)$$

Differentiating (4.7) with respect to α and β and equating to zero gives

$$\beta = \frac{\bar{Y} C_y}{\bar{X} C_x |R|_{2 \times 2}} (-1)^{1+1} |R_{yx}|_{yxz} \quad (3.8)$$

$$\alpha = \frac{1}{2} + \frac{C_y}{\bar{Z} C_z |R|_{2 \times 2}} (-1)^{2+1} |R_{yz}|_{yxz}. \quad (3.9)$$

Using normal equations that are used to find the optimum values of α and β (3.6) can be written in sim-

plified form as

$$\text{MSE}(t_{\text{RERP}}) = E\left(\bar{e}_y\left(\bar{e}_y - \beta\bar{e}_x + \bar{Y}\left(\frac{1}{2} - \alpha\right)\bar{e}_z\right)\right). \tag{3.10}$$

Taking expectation in (3.10) we get,

$$\text{MSE}(t_{\text{RERP}}) = E(\bar{e}_y^2) - \beta E(\bar{e}_y\bar{e}_x) + \bar{Y}\left(\frac{1}{2} - \alpha\right)E(\bar{e}_y\bar{e}_z). \tag{3.11}$$

Taking expectation and using (1.4) in (3.11) we get

$$\text{MSE}(t_{\text{RERP}}) = \theta\bar{Y}^2C_y^2 - \beta\theta\bar{X}\bar{Y}C_xC_y\rho_{yx} + \bar{Y}\alpha\theta\bar{Y}ZC_yC_z\rho_{yz}. \tag{3.12}$$

Substituting the optimum value (3.8) and (3.9) in (3.12), we get

$$\begin{aligned} \text{MSE}(t_{\text{RERP}}) = \theta\bar{Y}^2C_y^2 - \left(\frac{\bar{Y}C_y}{\bar{X}C_x|R|_{2\times 2}}(-1)^{1+1}|R_{yx}|_{yxz}\right)\theta\bar{X}\bar{Y}C_xC_y\rho_{yx} \\ + \bar{Y}\left(\frac{1}{2} - \left(\frac{1}{2} + \frac{C_y}{\bar{Z}C_z|R|_{2\times 2}}(-1)^{2+1}|R_{yz}|_{yxz}\right)\right)\theta\bar{Y}ZC_yC_z\rho_{yz}. \end{aligned} \tag{3.13}$$

Simplifying (3.13) we get

$$\text{MSE}(t_{\text{RERP}}) = \theta\bar{Y}^2C_y^2 - \frac{\bar{Y}C_y}{|R|_{2\times 2}}(-1)^{1+1}|R_{yx}|_{yxz}\theta\bar{Y}C_xC_y\rho_{yx} - \frac{C_y}{|R|_{2\times 2}}(-1)^{2+1}|R_{yz}|_{yxz}\theta\bar{Y}^2C_yC_z\rho_{yz}. \tag{3.14}$$

Or

$$\text{MSE}(t_{\text{RERP}}) = \theta\bar{Y}^2C_y^2\left(1 - \frac{(-1)^{1+1}|R_{yx}|_{yxz}}{|R|_{2\times 2}}\rho_{yx} - \frac{(-1)^{2+1}|R_{yz}|_{yxz}}{|R|_{2\times 2}}\rho_{yz}\right). \tag{3.15}$$

Or

$$\text{MSE}(t_{\text{RERP}}) = \frac{\theta\bar{Y}^2C_y^2}{|R|_{2\times 2}}\left(|R|_{2\times 2} + (-1)^1|R_{yx}|_{yxz}\rho_{yx} + (-1)^2|R_{yz}|_{yxz}\rho_{yz}\right). \tag{3.16}$$

We can also rewrite (3.16) as,

$$\text{MSE}(t_{\text{RERP}}) = \theta\bar{Y}^2C_y^2\frac{|R|_{yxz}}{|R|_{2\times 2}}. \tag{3.17}$$

Using (1.6) in (3.17) we get

$$\text{MSE}(t_{\text{RERP}}) = \theta\bar{Y}^2C_y^2(1 - \rho_{y.xz}^2) \tag{3.18}$$

where $\rho_{y.xz}^2$ denotes the multiple coefficient of determination of y on x, z .

3.2. Bias of the New Regression Type Estimator with Two Auxiliary Variables

The regression-cum-exponential ratio-product estimator using multiple auxiliary variables in single-phase sampling is biased. However, this bias is negligible for moderate large samples. It is easily shown that the new regression type estimator with two auxiliary variables for single-phase is consistent estimator using two auxiliary variables since it is a linear combination of consistent estimators it follows that it's also consistent.

4. Simulation, Result and Discussion

We carried out some data simulation experiments to compare the performance of the new regression type estimator with mean per unit, ratio and product estimator using one auxiliary variable, ratio-product estimator, exponential ratio estimator, exponential product estimator and exponential ratio-product estimators in single-phase

sampling for finite population.

1) Simulated population

i) Study variable $N = 500, n = 60, \text{ mean} = 75$ and standard deviation = 10.

ii) For ratio estimator the auxiliary variable is strongly positively correlated with the study variable and the line passes through the origin.

$N = 500, n = 60, \text{ mean} = 56$, standard deviation = 11 and $\rho_{yx} = 0.7018$.

iii) For regression estimator the auxiliary variable was strongly positively correlated with the study variable and the regression line does not pass through the origin.

Auxiliary variable $N = 500, n = 60, \text{ mean} = 7$, standard deviation = 2 and $\rho_{yx} = 0.8114$.

iv) For product estimator the auxiliary variable was strongly negatively correlated with the study variable.

Auxiliary variable $N = 500, n = 60, \text{ mean} = 34$, standard deviation = 6.3 and $\rho_{yx} = -0.7477$.

2) Natural population by Johnson (1996)

Lists estimates of the percentage of body fat determined by underwater weighing and various body circumference measurements for 252 men and data set was used to illustrate multiple regression techniques.

i) Body fat $N = 252, n = 32, \text{ mean} = 19$ and standard deviation = 8.4.

ii) For ratio estimator the auxiliary variable (simulated) is strongly positively correlated with the study variable (body fat).

$N = 252, n = 32, \text{ mean} = 63$, standard deviation = 3.4 and $\rho_{yx} = 0.4943$.

iii) For regression estimator the auxiliary variable (hips circumference) was strongly positively correlated with the study variable (body fat).

Auxiliary variable $N = 252, n = 32, \text{ mean} = 99$, standard deviation = 7 and $\rho_{yx} = 0.6235$.

iv) For product estimator the auxiliary variable (simulated) was strongly negatively correlated (body fat) with the study variable.

Auxiliary variable $N = 252, n = 32, \text{ mean} = 5$, standard deviation = 3.3 and $\rho_{yx} = -0.5812$.

In order to evaluate the efficiency gain we could achieve by using the proposed estimators, we have calculated the variance of mean per unit and the mean squared error of all estimators we have considered. We have then calculated percent relative efficiency of each estimator in relation to variance of mean per unit. We have then compared the percent relative efficiency of each estimator, the estimator with the highest percent relative efficiency is considered to be the more efficient than the other estimators. The percent relative efficiency is calculated using the following formulae.

$$\text{eff} \left(\hat{Y} \right) = \frac{\text{Var} \left(\hat{y} \right)}{\text{MSE} \left(\hat{Y} \right)} * 100 \tag{4.0}$$

Table 1 shows percent relative efficiency of proposed estimator with respect to mean per unit estimator for single-phase sampling. It is very clear from **Table 1** that our proposed new regression type estimator is the most

Table 1. Relative efficiency of existing and proposed estimator with respect to mean per unit estimator for single-phase sampling.

Estimator	Relative percent efficiency with respect to mean per unit	
	Simulated population	Natural population
\bar{y}	100	100
t_R	113	107
t_P	126	104
t_{RE}	270	150
t_{RP}	245	108
t_{ER}	191	104
t_{EP}	238	103
t_{ERP}	194	123
t_{RERP} (proposed)	325	169

efficient compared to mean per unit, ratio and product estimator using one auxiliary variables, ratio-product estimator, exponential ratio estimator, exponential product estimator and exponential ratio-product estimator estimators for population mean since it has the highest percent relative efficiency.

5. Conclusion

The proposed new regression type estimator with two auxiliary variables for single-phase sampling is recommended for estimating the finite population mean since it is the most efficient estimator compared to mean per unit, ratio and product estimator using one auxiliary variables, ratio-product estimator, exponential ratio estimator, exponential product estimator and exponential ratio-product estimator in term of efficiency in single-phase sampling.

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